

Attorney's Docket No. 029685-015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Grant SIMONDS

Application No. 09/932,667

Filed: August 17, 2001

For: Improved Method and System of

Effecting a Financial Transaction

Group Art Unit:2672

Examiner: Unassigned

RECEIVED TOO TOO

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

No additional fee for submission of an IDS is required.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404 Alexandria, Virginia 22313-1404 (919) 941-9240

Date: November 19

Theodosios Thomas

Registration No. 45,159



IN THE UNITED AND TRADEMARK OFFICE

In re Patent Application of

Grant SIMONDS

Application No. 09/932,667

Filed: August 17, 2001

For: Improved Method and System of

Effecting a Financial Transaction

Group Art Unit: 2672

Examiner: Unassigned

PECHNOLOGY CENTER REDO

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Theodosios Thomas Registration No. 45,159

P.O. Box 1404

Alexandria, VA 22313-1404 Phone: (919) 941-9240

Date: November 19, 2001

SHEET 1 OF 1

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPENDENT

ATTORNEY'S DKT NO.	APPLICATION NO.				
029685-015	09/932,667				
APPLICANT					
Grant SIMONDS					
FILING DATE	GROUP				
August 17, 2001	2672				

	.74		U.S. PATENT DO	CUMENTS	<i>(</i> 1)		į.	
		U.S. Patent Document						
Examiner Initials	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document			Date of Publication (MM-DD-YYYY)		
	4,850,009		Zook et al			07-18-1989		
	5,608,778		Partridge, III			03-04-1997		
	5,920,826		Metso et al.			07-06-1999		
	5,948,040	†	DeLorme et al.			09-07-1999		
						Technology Contor		
							<u> </u>	
		ļ				Con Ov	SIL	
		ļ				10/00 2		` —
						- Con	00,	
		ļ				110,	2	
×				<u></u>		··	<u> </u>	
# 1 T 4		मार्ग मा सुद्र पुरस्का है में में हैं	なれて お返しから 適能 ましば 硬化な へいごう	Carely signification of the fact.	19-1 4 p 3.02 c	ren grannings by the state of	es ····································	
ü -			OREIGN PATENT D	OCUMENTS	1 1		* * 1 · 1 · 2 · 3	
Examiner	Foreign Patent	Kind Code	-		Da	Date of Publication Translatio		lation
Initials	Number	(if known)	Country		(MM-DD-YYYY)		Yes	no
								Ī
			-					
	v.() 1	NON	PATENT LITERATU	RE DOCUME	VTS	ta ja		in ^e
Examiner Initials			r (in CAPITAL LETTERS rnal, serial, symposium publisher, city and/o	, catalog, etc.), d	ate, page	e(s), volume-issue nur		
								
				·				
Examiner Signature				Date Considered				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.